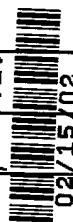
 <b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>Only for new nonprovisional applications under 37 CFR 1.53(b)</small>	<b>Attorney Docket No.:</b> 2002_0249 <b>First Named Inventor :</b> Toru SHIOZAKI et al. <b>Title:</b> OPTICAL AMPLIFYING DEVICE <b>Express Mail Label No.:</b> 
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<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program ( <i>Appendix</i> )		
2. <input type="checkbox"/> Small Entity Status is hereby asserted.	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ol style="list-style-type: none"> <li><input type="checkbox"/> Computer Readable Form</li> <li><input type="checkbox"/> Specification Sequence Listing on:           <ol style="list-style-type: none"> <li><input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li><input type="checkbox"/> Paper</li> </ol> </li> <li><input type="checkbox"/> Statement verifying identity of above copies</li> </ol>		
3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Sequence Listing, a table, or a computer program listing appendix.</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	<b>[Total Pages: 34]</b>		
4. <input checked="" type="checkbox"/> Drawing(s) ( <i>35 USC 113</i> )	<b>[Total Sheets: 20]</b>		
5. <input checked="" type="checkbox"/> Oath or Declaration <ul style="list-style-type: none"> <li>a.1. <input type="checkbox"/> Newly executed (original or copy)</li> <li>a.2. <input type="checkbox"/> Unexecuted</li> <li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul>	<b>[Total Pages : 3]</b>		
6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)	<b>ACCOMPANYING APPLICATION PARTS</b> <ol style="list-style-type: none"> <li>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</li> <li>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (<i>when there is an assignee</i>)         <ul style="list-style-type: none"> <li><input type="checkbox"/> Power of Attorney</li> </ul> </li> <li>11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</li> <li>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449         <ul style="list-style-type: none"> <li><input type="checkbox"/> Copies of IDS Citations</li> </ul> </li> <li>13. <input checked="" type="checkbox"/> Preliminary Amendment</li> <li>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (<i>Should be specifically itemized</i>)</li> <li>15. <input type="checkbox"/> Certified Copy of Priority Document(s)  <i>(if foreign priority is claimed)</i></li> <li>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).            Applicant must attach form PTO/SB/35 or its equivalent.</li> <li>17. <input checked="" type="checkbox"/> Other Claim of Priority</li> </ol>		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. 09/773,613

Prior Application Information: Examiner Andrew R. Sommer      Group Art Unit 3662

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513  
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February 15, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Toru SHIOZAKI et al. :  
Serial No. NEW : Attn: Application Branch  
Filed February 15, 2002 : Attorney Docket No. 2002\_0249

OPTICAL AMPLIFYING DEVICE  
(Rule 1.53(b) Divisional  
of Serial No. 09/773,613,  
Filed February 2, 2001)

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS COMMUNICATION TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Attached hereto is a check in the amount of \$740.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$740.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Toru SHIOZAKI et al.

By



Dhiren R. Odedra  
Registration No. 41,227  
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February 15, 2002

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